



Making a world of difference
in small-scale gold mining.

A GEF Initiative

Workshop: Application of the planetGOLD Criteria using digital tools.

Thursday, March 27th,
2025.

Supported by:



Led by:



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About the hosts and a brief context:

Alliance for Responsible Mining




▶ **Cristian Arias O.**
Head of Responsible
sourcing



▶ **Christophe Hanne C.**
Training center
manager



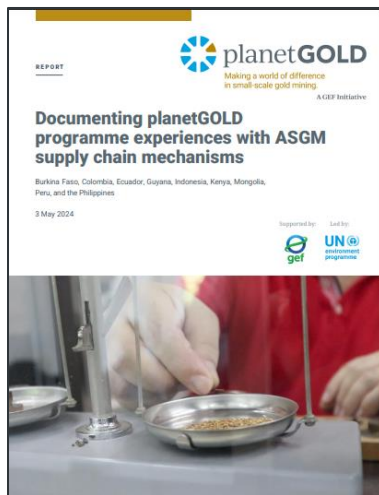
Objectives of the consultancy

- 
1. Summarize/assess the planetGOLD country projects ASGM supply chain mechanisms.
 2. Conduct training to assist ASM with adhering to and documenting the planetGOLD Criteria.
 3. Conduct a pilot (mobile application) to track conformance with the planetGOLD Criteria.
 4. Prepare an analysis of supply chain successes and barriers.
-

Key deliverables

1.

planetGOLD country project of ASGM supply chain mechanisms



- ▶ Analysis of Supply Chains (Phase 1).
- ▶ Identified barriers, mitigation strategies.
- ▶ Connecting stakeholders towards mercury-free trade.

Scan me and find out!



2.

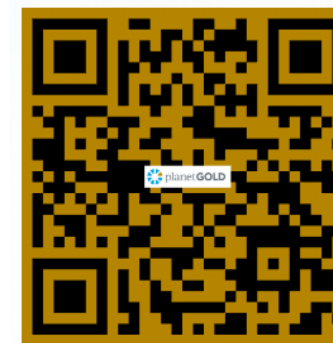
Training to assist ASM with adhering to and documenting the planetGOLD Criteria



- ▶ English Learning Toolbox
- ▶ Español Learning Toolbox
- ▶ French Learning Toolbox

Practical tools to guide/describe:

- ▶ Compliance with planetGOLD Criteria.
- ▶ Supply chains and market access.





3. Conduct a pilot (mobile application) to track conformance with the planetGOLD Criteria

Short reminder

How much do we know about the planetGOLD Criteria?

1. Which of the following criteria were created specifically for the planetGOLD Programme?

- a. Organizational structure, money laundering risks, and occupational health and safety
- b. Mercury elimination, respect for the rights of indigenous communities, environmental protection
- c. Legitimacy, internal control system, occupational health risks

2. Are the planetGOLD Criteria aligned with the CRAFT Code?

- a. Yes
- b. No

3. How many modules does the planetGOLD Criteria include?

- a. 4 CRAFT Modules and 3 additional criteria
 - b. 10 CRAFT Code Modules and 3 additional criteria
 - c. It does not have a specific number of modules
-

What do the planetGOLD Criteria include?

Obligatory modules of the CRAFT Code and 3 planetGOLD Criteria with a progressive approach

Modules 1 to 4 of the CRAFT Code



A.
Eliminate
Mercury

B.
Respect Rights
and Lives of
Indigenous
Peoples

C.
Environment
Protection

3 additional criteria A, B and C

Internal
management
system
Module 1

Legitimacy
Module 2

STOP
Red Flag
Social,
Ethics,
Human
Rights
Issues
Module 3

Yellow Flag
Social,
Ethics,
Human
Rights
Issues
Module 4



Digital tool to track planetGOLD Criteria Bloom application

Background and functionality

Bloom pilot implementation history

Sep 24

- ▶ First version implemented in 3 ASGM organizations.

Oct 24

- ▶ Adjustments and adaptations made towards version 2.0.

Feb 25

- ▶ Second implementation in the field and presentation to key stakeholders.

Mar 25

- ▶ Final adjustments with the training tool.



How does the application work?

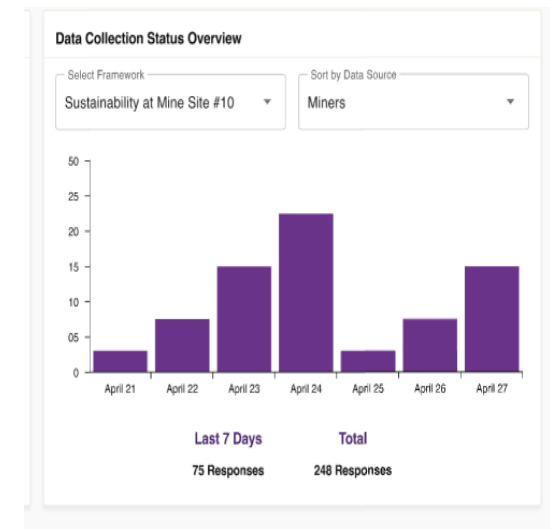
1. The information and supporting data are collected on the KoboToolbox platform.



2. The information collected in KoboToolbox is uploaded to the Bloom platform.



3. Through Bloom, an analysis of the information is carried out, as well as a visualization.

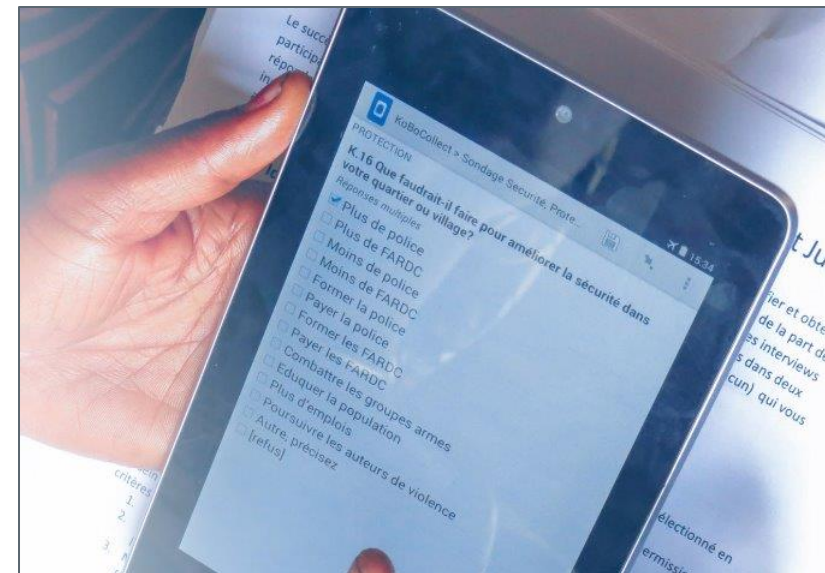


Step 1:

KoboToolbox is a platform for collecting, managing, and visualizing data.

Features

- ▶ It's intuitive and easy to use.
- ▶ Works offline on any device.
- ▶ All basic features are free for non-profit organizations.
- ▶ The tools are multilingual.

 KoboToolbox

Step 1:



KoboToolbox		
	P-1.2.3.1 - Please upload the declaration.	Environmental Regulations-Mining Activities-MAE-16_37_27.pdf
	P-1.3.1.1 - Upload the document that demonstrates your commitment to the planetGOLD Criteria	Workshop Report planetGOLD Criteria and market access training (1)-12_53_48.pdf
<input type="radio"/>	P-1.4.1.1 - Are the planetGOLD criteria communicated in a way that reaches all MS members?	Yes
	P-1.4.1.1b - If yes, what means do you use to ensure that MS members are aware of the planetGOLD criteria?	• Others
abc	P-1.4.1.1c - If you use other means to ensure that MS members are aware of the planetGOLD criteria, please specify.	SOCIALIZATION AND PARTICIPATION IN PLANETGOLD GROUPS - COMMUNICATION WITH EMPLOYEES TO MEET CRITERIA IN DAILY TASKS
<input type="radio"/>	P-1.5.1.1 - Have you appointed a person responsible for managing complaints and conflicts in the MS?	Yes

Structure of the planetGOLD Criteria forms

Total questions: 209

- Module 1: 39 questions (Weight - 10%)
- Module 2: 9 questions (Weight – 20%)
- **Module 3: 53 questions (Weight – 30%)**
- Module 4: 67 questions (Weight – 20%)
- PlanetGOLD Criteria: 41 questions (Weight - 20%)

Step 1:



In Kobo the structure of the question will always be the same.

1. Module
2. Requirements
3. Criteria (Includes defined red - orange - yellow alerts!)

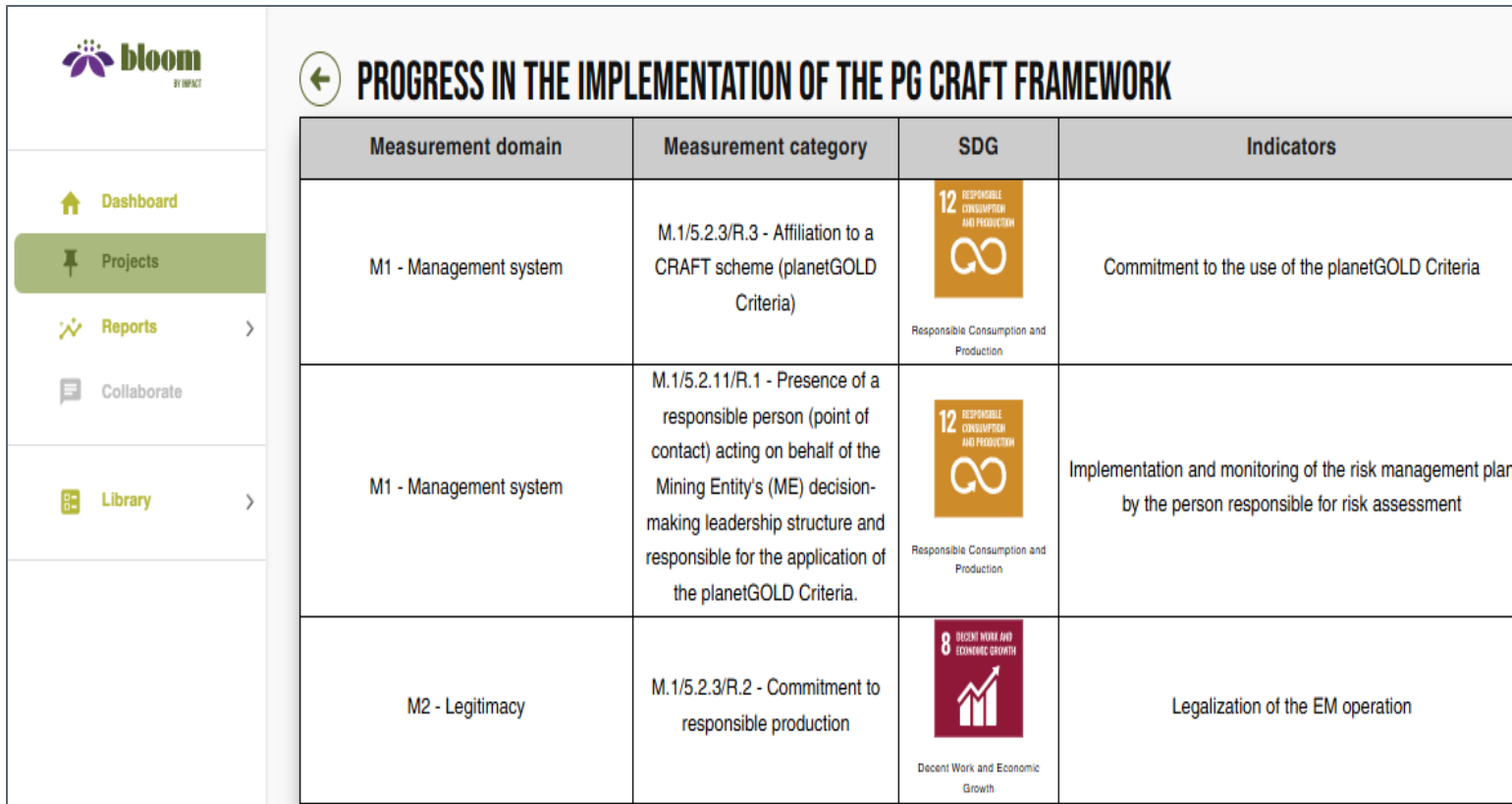
1. MODULES




Module 1 INTERNAL MANAGEMENT SYSTEM		Module 2 LEGITIMACY		Module 3 RED FLAG SOCIAL, ETHICS, HUMAN RIGHTS ISSUES		Module 4 YELLOW FLAG SOCIAL, ETHICS, HUMAN RIGHTS ISSUES		PlanetGOLD CRITERIA	
6 requirements	Conformity	4 country context	Fullfilled requirement	7 requirements	Pass criteria	10 requirements	Fail criterion	3 requirements	Controlled
	Minor Nonconformity		Progress towards fulfillment		Fail Criteria		Mitigation progress satisfactory		Progressing
	Major Nonconformity		Fail criteria		Mitigated		Ommited		

2. REQUIREMENTS

3. CRITERIA

Key features



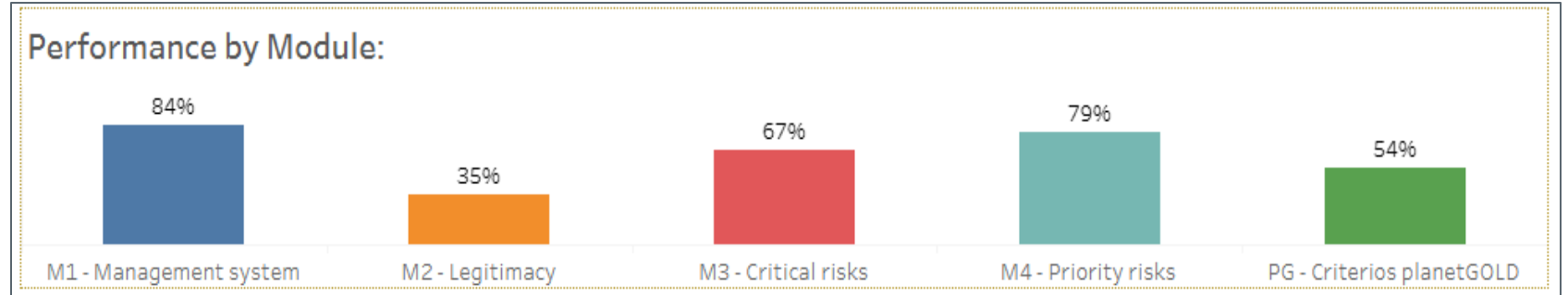
Measurement domain	Measurement category	SDG	Indicators
M1 - Management system	M.1/5.2.3/R.3 - Affiliation to a CRAFT scheme (planetGOLD Criteria)	 Responsible Consumption and Production	Commitment to the use of the planetGOLD Criteria
M1 - Management system	M.1/5.2.11/R.1 - Presence of a responsible person (point of contact) acting on behalf of the Mining Entity's (ME) decision-making leadership structure and responsible for the application of the planetGOLD Criteria.	 Responsible Consumption and Production	Implementation and monitoring of the risk management plan by the person responsible for risk assessment
M2 - Legitimacy	M.1/5.2.3/R.2 - Commitment to responsible production	 Decent Work and Economic Growth	Legalization of the EM operation

- ▶ **Weighted results and progressive advances** on planetGOLD Criteria based on scoring.
- ▶ **Cross-referencing data with impacts** on the Sustainable Development Goals (SDGs).
- ▶ **Report downloads and access sharing** with stakeholders.

Steps 2 and 3

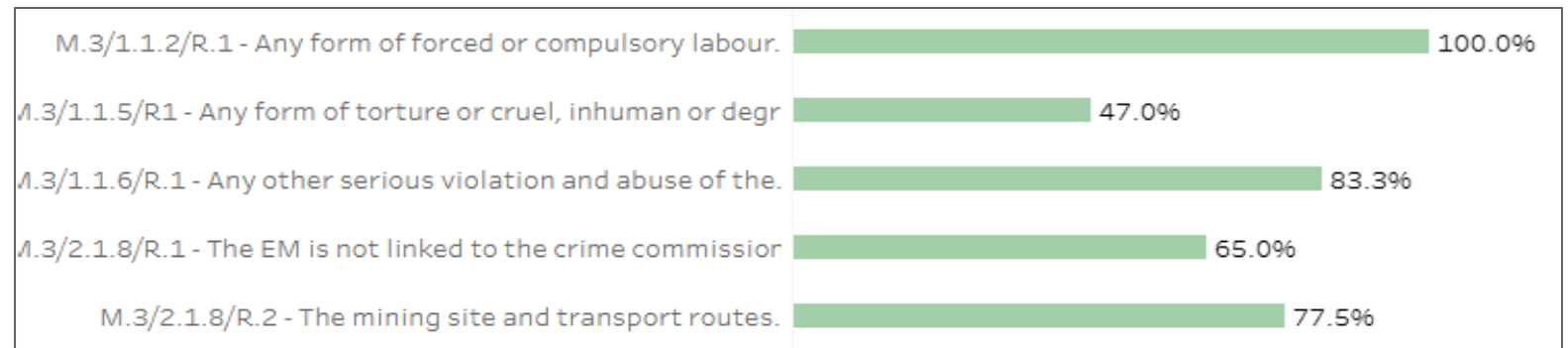
Main dashboard

- ▶ It displays the overall performance of a mining entity and the results for each evaluated module.
- ▶ It summarizes the number of critical risks identified.
- ▶ It presents the key results, differentiated by evaluated Module and planetGOLD Criteria.



Risk level

- Risk of disengagement with your buyer
- Risk of non-compliance with planetGOLD Criteria
- Significant risk to the Mining Entity



Steps 2 and 3

View by Criteria

- ▶ Second visualization sheet. Provides more details on the level of compliance and the scores of the evaluated criteria.
- ▶ Allows filtering results by Module and achievement level.

Evaluation of the Criteria

Module Name

(All)

M1 - Management system

M2 - Legitimacy

M3 - Critical risks

M4 - Priority risks

PG - Criterios planetGOLD

Achievement Level

(All)

Acceptable

Disabled

Excellent

Very poor

No data

Requirement	Criterion	Achievement Level	Score
M.1/5.2.3/R.2 - Commitment to	Adoption of the planetGOLD criteria for the risk management system	Excellent	1.0
	Public Declaration of Commitment to Responsible Mineral Production	Acceptable	0.7
	Annual risk assessment, mitigation, verification and reporting process	Excellent	1.0

Steps 2 and 3

View by collected data

- ▶ Third results sheet that allows reviewing the responses provided by mining entities, differentiated by their respective Criteria.
- ▶ Highlights the criteria identified as critical, with potential for disqualification or non-compliance with planetGOLD Criteria.

Risk level

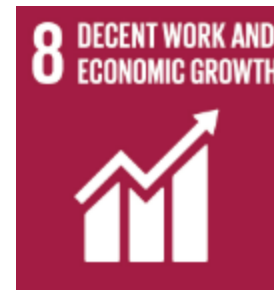
- Risk of disengagement with your buyer
- Risk of non-compliance with planetGOLD Criteria
- Significant risk to the Mining Entity
- No major risk for the mining entity

Criterion	Questions	Answer	Score/Risk Level
Presence of a qualitative	P-3.1.2.1 Has child labor been assessed at the mine?	No	0.0
	P-3.1.2.2 Is there any document that assesses the scope and conditions of	No	0.0
Complaint mechanism for	P-3.1.3.1 Is there a grievance mechanism that can receive complaints or r	No	0.0
	P-3.1.3.2 Are there any complaints or claims regarding child labor in your	No	1.0

Steps 2 and 3

View by Contribution to the SDGs

- ▶ Final Visualization: Shows the evaluated mining entity's contribution to six Sustainable Development Goals (SDGs).
- ▶ These contribution results are obtained by cross-referencing planetGOLD Criteria with the specific indicators.



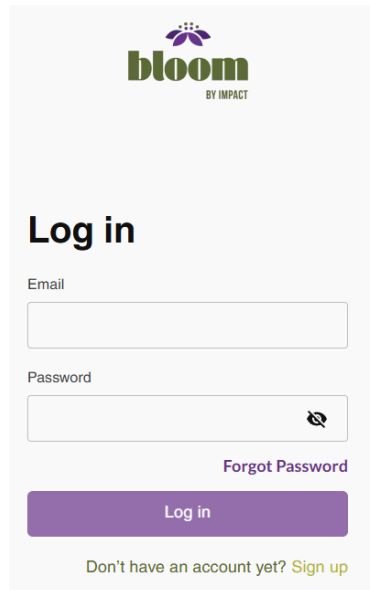


Practical case of using the Bloom platform

User Guide and Website

- ▶ 1. Look at the  **Field-user guide**

- ▶ 2. Let's explore the application interface with a real case from an ASGM mining entity.



The screenshot shows a login page for the 'bloom BY IMPACT' application. At the top center is the 'bloom BY IMPACT' logo. Below it, the text 'Log in' is displayed in a bold, dark font. Underneath, there are two input fields: 'Email' and 'Password'. The 'Password' field includes a small icon for toggling password visibility. To the right of the password field is a link that says 'Forgot Password'. Below the input fields is a purple button labeled 'Log in'. At the bottom of the form, there is a link that says 'Don't have an account yet? Sign up'.





Interaction with KoboToolbox questionnaire

Instructions:

1. Evaluate the case of the mining entity "**Nuevo Futuro**" using selected questions from the **planetGOLD Criteria**.
2. Answer the questions in the **KoboToolbox** form by analyzing this specific case. **The following criteria will be evaluated:**

Criteria M.1/5.2.8/R.1

The mineral producer has designated a contact point for complaints.

Criteria M.3/1.1.1/R1

It is reasonable to believe that the mining entity does not tolerate the worst forms of child labor in its production process.

Criteria M.4/5.1.3/R.1

It is reasonable to believe that the mining entity makes all reasonable efforts to avoid offering, promising, giving, accepting, or demanding bribes.

Criteria M.1/5.2.8/R.1

The mineral producer has designated a contact point for complaints.

Testimony of the Mining Entity "Nuevo Futuro":

The group states that they have a website where anyone can express concerns or complaints related to their operations. However, there is no protocol in place to demonstrate how the ASM formally collects and manages this information.

Reception and Handling of Complaints and/or Suggestions

Help Us Improve

Your suggestion is an opportunity for improvement for us.

A) INFORMATION ABOUT THE PERSON SUBMITTING THE COMPLAINT OR CLAIM:

Date:	
Full Name:	
Company or Organization Represented:	
Email Address:	
Phone Number(s):	
Complaint or Suggestion:	<input type="checkbox"/> Perception of poor service quality.
	<input type="checkbox"/> Non-compliance with service requirements.
	<input type="checkbox"/> Non-compliance with deadlines.
	<input type="checkbox"/> Other. Please comment: _____

Module 1:

1. Have you designated a person responsible for managing complaints and conflicts in the ME? Yes / No
2. In your opinion, can this person act with sufficient independence as a conflict mediator in case of complaints? Yes / No

Practical interaction with the KoboToolbox

Criteria M.3/1.1.1/R1

It is reasonable to believe that the mining entity does not tolerate the worst forms of child labor in its production process.

Testimony of the Mining Entity "Nuevo Futuro":

The group mentions a report developed by an international NGO at their sites to understand the child labor situation—**they even won a national award for it!** Additional field visits confirm that no children are engaged in mining activities.



Module 3:

1. Are there any individuals under 18 years old in mining operations? Yes / No

2. How do you ensure that children are not engaged in hazardous activities?

a) Regular inspections by site management b) Verification of workers' ages c) Training and awareness programs on child labor d) Collaboration with local authorities e) Other

3. Has child labor been assessed at the mine? Yes / No

4. Is there any document that evaluates the scope and conditions of child labor? Yes / No

5. Is there a grievance mechanism in place to receive complaints or reports related to child labor? Yes / No

Practical interaction with the KoboToolbox

Criterion M.4/5.1.3/R.1

It is reasonable to believe that the mining entity makes all reasonable efforts to avoid offering, promising, giving, accepting, or demanding bribes.

Testimony of the Mining Entity "Nuevo Futuro":

The group does not mention any specific document or policy. However, the fight against bribery and corruption is part of employee onboarding and regular training. The cooperative has all receipts for taxes and royalties paid in accordance with the law.



Module 4:

1. Do you have an internal policy requiring all members to refrain from offering, promising, or giving bribes, and especially from expecting or demanding them?

Yes / In progress / No

2. How do you ensure that all affiliates are aware of and comply with this internal policy?

3. Have you developed, implemented, and monitored a plan to address bribery risk in the EM?

Yes / In progress / No



Questions & Answers

Closing and feedback on the workshop

Please scan this QR code to fill out a short survey.



For more information, please contact:



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